

## **Exhibit A Braun – Late C4s**

### **1. May 10, 2013, form C-4 report(s) 100522489;**

This report, for February 2013, was apparently due by March 10, 2013.

### **2-10. November 17, 2014, form C-4 report(s) 100613968; 100613983; 100613986; 100613995; 100614001; 100613998; 100613997; 100613981; 100613977;**

These reports, for filing periods in March, May, July, & August 2013, as well as January, February, March, June, & October 2014, were all filed on November 17th 2014. These reports ranged from being seven days to more than 19 months overdue.

### **11. March 14, 2016, form C-4 report(s) 100686555**

This report, for February 2016, was apparently due by March 10, 2016.