1 2 3 4 5 6 7 BEFORE THE PUBLIC DISCLOSURE COMMISSION 8 OF THE STATE OF WASHINGTON 9 In Re: The Matter of Enforcement Action PDC CASE NO. 95341 Against: 10 FINAL ORDER ON REQUEST FOR REVIEW 11 DENNIS CARPENTER, 12 Respondent. 13 14 This matter was heard remotely by the Washington State Public Disclosure Commission 15 (Commission) on October 12, 2021, by telephonic and online streaming access. Respondent 16 Dennis Carpenter requested review of the Presiding Officer's Order entered on September 13, 17 2021, following a Brief Enforcement Hearing (Brief Adjudicative Proceeding) on August 12, 18 2021, in this matter. The hearing was held in accordance with Chapters 34.05 and 42.17A RCW, 19 and Chapter 390-37 WAC. 20 Commissioners present both telephonically and online were Fred Jarrett, Commission 21 Chair (presiding); Nancy Isserlis, Commission Vice-Chair; and Commissioners William 22 Downing and Allen Hayward. Also present both telephonically and online were Assistant 23 Attorney General John S. Meader representing the Commission, Assistant Attorney General 24 Chad Standifer representing PDC Staff, and Tabitha Townsend, PDC Compliance Coordinator. 25

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Notice was provided to the Respondent and he did not appear on his own behalf. The proceeding

was open to the public as limited by Governor's Proclamation 20-25 et. seq. and recorded.

The Commissioners had before them the following materials:

- Case memorandum dated October 7, 2021, prepared by Tabitha Townsend, PDC Compliance Coordinator;
- 2. Brief Enforcement Hearing Notice served July 20, 2021;
- 3. Initial Order Imposing Fine, served September 13, 2021;
- 4. Respondent's photograph of the request for termination from candidacy dated July 19, 2021;
- 5. Hard copy termination document received by PDC staff on August 19, 2021.

I. FINDINGS OF FACT

The matter involved Respondent's request that the Commission review an Initial Order entered on September 13, 2021, resulting from an Initial Hearing on August 12, 2021, regarding Dennis Carpenter, a candidate for City Council Member for the City of Hoquiam during election year 2021. The Presiding Officer at the Brief Adjudicative Proceeding found the Respondent in violation of RCW 42.17A.205 for failure to timely file a Candidate Registration (C-1 Report) and RCW 42.17A.700 for failure to timely file a Personal Financial Affairs Statement (F-1 Report) with the Public Disclosure Commission no later than June 4, 2021. At the August 12, 2021, hearing, the Presiding Officer imposed a \$500 penalty, with \$200 suspended, which the Respondent now requests the Commission review. The Respondent has no prior violations with the PDC.

 On July 20, 2021, PDC staff emailed the Respondent a hearing notice for a Brief Adjudicative Proceeding (Brief Enforcement Hearing) to be held on August 12, 2021. The hearing notice was sent to the Respondent's email address of record.

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- 4. At the Brief Enforcement Hearing held on August 12, 2021, the Respondent was found in violation of RCW 42.17A.205 and .700 for failure to timely file the missing C-1 and F-1 Reports no later than June 4, 2021 and was assessed a \$500 penalty consistent with WAC 390-37-143, the Brief Enforcement Hearing penalty schedule adopted by the Commission. The Presiding Officer suspended \$200 of the \$500 penalty conditioned upon the Respondent filing the missing C-1 and F-1 reports and paying the non-suspended \$300 penalty within 30 days of the Initial Order being entered.
- 5. On July 19, 2021, the Respondent contacted PDC staff to request termination of his campaign and emailed a photograph of his termination form to the PDC.
- 6. On September 13, 2021, the Respondent was served with the Initial Order memorializing the Commission's ruling, by email, to the Respondent's email address of record.
- 7. On September 22, 2021, the Respondent requested review of the Initial Order by phone because he had terminated his campaign prior to being issued a hearing notice for the Brief Enforcement Hearing.

II. CONCLUSIONS OF LAW

The Commission has jurisdiction over this proceeding pursuant to Chapter
 42.17A. RCW, the State campaign finance and disclosure law; Chapter 34.05
 RCW, the Administrative Procedure Act; and Title 390 WAC.

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2	2 Pursuant to RCW 42.17A.205, a candidate for elec	ction year 2021 was required		
3	to file a C-1 Report within two weeks of candidacy	or no later than June 4, 2021.		
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5	3. Pursuant to RCW 42.17A.700, a candidate for elect	ion year 2021 was required		
6	to file an F-1 Report, disclosing financial information	to file an F-1 Report, disclosing financial information for the prior twelve		
7	months, within two weeks of candidacy or no later than June 4, 2021.			
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10	III. ORDER			
10	This Order on Review will be the Final Order. After consideration of the mitigating			
12	and aggravating factors, the Findings of Fact, and the Conclusions of Law, the Initial Hearing			
13	Order assessing a \$500 civil penalty, with \$200 suspended, is VACATED.			
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15	SO ORDERED this 8th day of November, 2021.			
16	WASHINGTON STA			
17	FOR THE COMMISSION:			
18	and arrest			
19				
20	Fred Jarrett, Chair, Public Disclosure Commission			
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22		and the short an		
23	NO D	ertify that I emailed a copy of this nt at his respective email address.		
24	24 Electronically Signed To			
25	PDC Compliance Coordinator,	Date		
26	pdc@pdc.wa.gov			